

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK		ATTORNEY'S DOCKET NUMBER
OFFICE		
(REV-9-2001) TRANSMITTAL LETTI	ER TO THE UNITED STATES	DVME-1024US
DESIGNATED/ELECT	ED OFFICE (DO/EO/US)	·
CONCERNING A FILI	NG UNDER 35 U.S.C. 371	
		U.S. 1 PO 1 A TO 18 9 19 19 18 /
International Application No.	International Filing Date	Priority Date Claimed
PCT/NL00/00720	06 October 2000 /	08 October 1999
TITLE OF INVENTION: Method for Transfe	rring A Software Module From A Sender To A	Receiver In A Computer System or Network

APPLICANT(S) FOR DO/EO/US: BOLWIDT, Erwin, Joost

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- This is a First submission of items concerning a filing under 35 U.S.C. 371.
- 2.

 This is a Second or Subsequent submission of items concerning a filing under 35 U.S.C. 371.
- 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. The US has been elected by the expiration of 19 months from the priority date (Article 31).
- 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a.

 is attached hereto (required only if not communicated by the International Bureau).
 - b. has been communicated by the International Bureau.
 - c.

 is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6.

 An English language translation of the International Application as filed (35 U.S.C. 371(c)(2).
 - a.

 is attached hereto.
 - b. a has been previously submitted under 35 U.S.C. 154(d)(4).
- Amendments to the claims of the International Application under PCT Article19 (35 U.S.C. 371(c)(3)
 - n are attached hereto (required only if not communicated by the International Bureau)
 - □ have been communicated by the International Bureau.
 - $\hfill\square$ have not been made; however, the time limit for making such amendments has NOT expired.
 - have not been made and will not be made.
- 8.

 An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (unsigned).
- 10.

 An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5))

Items 11 to 20 below concern document(s) or information included:

- 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98 and cited references.
- 12.

 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. A FIRST preliminary amendment.
- 14.

 A SECOND or SUBSEQUENT preliminary amendment.
- 15.

 A substitute specification.
- 16.

 A change or power of attorney and/or address letter.
- 17.

 A computer-readable form of the sequence listing in accordance with PCT Rule 13 ter.2 and 35 U.S.C. 1.821-1.825.
- 18.

 A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. Other items or information: Certificate of Express Mail/Copy of International Preliminary Examination Report with annexes (amended pages of specification and claims)

JC13 Rec'd PCT/PTC 0 4 APR 2002

					- · HI II ///
U.S. Application No	000090	International Applie	cation No.	Attorney Docket Nu	ımber
U / U O 9 9 L O PCT/NL00/00720			DVME-1024US		
21. The Following fees are submitted:			Calculations	PTO USE ONLY	
	IAL FEE (37 CFR 1.492				
Neither internation	nal preliminary examinat	ion fee (37 CFR 1.482) nor international		1
Search fee (37 CF	R 1.445(a)(2) paid to US	PTO and International	Search Report not	1	,
prepared by EPO	or JPO		\$1040.00	1	
1	iminary examination fee (27 CER 1 492)	HA LISTO but		
International prei	mmary examination tee (ch Report prepared by the	5 / Crk 1.482) not par a EPO or IPO	8800 00		
international Seal	on Report prepared by the	c El O ol n O			1
International prel	iminary examination fee (37 CFR 1.482) not pai	id to USPTO but all	}	}
	nal search fee (37 CFR 1.4				
·		(/ (/ 1			
	iminary examination fee (\	
did not satisfy pro	ovisions of PCT Article 33	3(1)-(4)	\$710.00	l	
,					ļ
	iminary examination fee (]	
satisfied provision	ns of PCT Article 33(1)-(4	1)		*	!
ENTER APPRO	PRIATE BASIC FEE A	MOUNT =		\$890.00	
	0.00 for furnishing the oat		rthan = 20 = 30	\$650.00	
	earliest claimed priority d			\$	
CLAIMS	NUMBER	NUMBER	RATE	S	<u> </u>
CLAIMS	FILED	EXTRA	KATE] 3	
Total Claims	26-20	6	X \$18.00	\$108.00	
Independent	-3		X \$84.00	S	
Claims	*			† *	
Multiple Depende	ent Claims (if applicable)		+ \$280.00	\$	
				\$	
	TOTAL OF	ABOVE CALCULA	ATIONS	\$	
☐ Applicant claims s	mall entity status. See	37 CFR 1.27. The f	ees indicated above		
are reduced by 1/2			+	\$	
SUBTOTAL			\$998.00		
Processing fee of \$1	30.00 for furnishing the	e English translation	later than \square 20 \square 30		
	liest claimed priority da				
TOTAL NATIONAL FEE			\$998.00		
Fee for recording the	e enclosed assignment ((37 CFR 1.21(h)). T	he assignment must		
be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per					
property					
TOTAL FEES ENCLOSED			\$998.00		
				Amount to be	\$
				refunded:	
				Charged	\$998.00

- a. A check in the amount of \$998.00 to cover the above fees is enclosed.
- b.

 Please charge my Deposit Account No. 50-0462 in the amount of \$ to cover the above fees.

 A duplicate copy of this sheet is enclosed.
- c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0462. A duplicate copy of this sheet is enclosed.
- d. \Box Fees are to be charge to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petitionto revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

KEVIN J. DUNLEAVY KNOBLE & YOSHIDA, LLC Suite 1350 1628 John F. Kennedy Blvd. Philadelphia, Pennsylvania 19103

Telephone: (215) 599-0600 Facsimile: (215) 599-0601

E-mail: kjdunleavy@patentwise.com

Kevin J. Dunleavy

Name

32,024

Registration Number

PATENT DVME-1024US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ACTING AS THE DESIGNATED/ELECTED OFFICE

In re Application of:) Erwin Joost Bolwidt)	Group Art Unit: Not Yet Assigned
Serial No.: Not Yet Assigned)	Examiner: Not Yet Assigned
Filed: Concurrently Herewith)	International Application No.: PCT/NL00/00720
Title: Method for Transferring A Software) Module From A Sender To A Receiver In A) Computer System or Network)	International Filing Date: 06 October 2000
FIRST PRELIMIN	ARY AMENDMENT
Commissioner For Patents BOX PCT Washington, D.C. 20231	
Sir:	
Prior to the calculation of fees for the ab	ove-captioned application, please amend the
application as follows:	
Date: April 4, 2002	f Express Mail
Express Mail Label No.: <u>EL606862685US</u>	
attached, is being deposited with the United State OFFICE TO ADDRESSEE" service under 37 Commissioner for Patents, BOX PCT, Washing	CFR 1.10 in an envelope addressed to the

In the Claims:

Please amend claims 3-6 and 8 to read as follows:

- 3. (Amended) Method according to claim 1, wherein the receiver obtains the software module to be transferred by combining the object received with the class or group of classes retrieved from its database or received, wherein the receiver transmits a message "transfer succeeded" or "transfer not succeeded" depending on whether or not the receiver succeeds in combining the object and class or group of classes.
- 4. (Amended) Method according to claim 1, wherein the receiver stores each class and group of classes with the corresponding class identifier received in its database for later use.
- 5. (Amended) Method according to claim 1, wherein a sender further combines the length of the data file of the class or group of classes with the given name and the result of the hash function to provide the class identifier.
- 6. (Amended) Method according to claim 1, wherein the receiver checks a class or group of classes received from a sender by comparing the result of the hash function of the received class identifier with the result obtained by carrying out the same cryptographic hash function on the data file of the class or group of classes received.
- 8. (Amended) Method according to claim 1, wherein senders and receivers are computers in a computer network.

Please add new claims 10-26 as follows:

-- 10. (New) Method according to claim 2, wherein the receiver obtains the software module to be transferred by combining the object received with the class or group of classes retrieved from its database or received, wherein the receiver transmits a message "transfer

succeeded" or "transfer not succeeded" depending on whether or not the receiver succeeds in combining the object and class or group of classes.

- 11. (New) Method according to claim 2, wherein the receiver stores each class and group of classes with the corresponding class identifier received in its database for later use.
- 12. (New) Method according to claim 3, wherein the receiver stores each class and group of classes with the corresponding class identifier received in its database for later use.
- 13. (New) Method according to claim 2, wherein a sender further combines the length of the data file of the class or group of classes with the given name and the result of the hash function to provide the class identifier.
- 14. (New) Method according to claim 3, wherein a sender further combines the length of the data file of the class or group of classes with the given name and the result of the hash function to provide the class identifier.
- 15. (New) Method according to claim 4, wherein a sender further combines the length of the data file of the class or group of classes with the given name and the result of the hash function to provide the class identifier.
- 16. (New) Method according to claim 2, wherein the receiver checks a class or group of classes received from a sender by comparing the result of the hash function of the received class identifier with the result obtained by carrying out the same cryptographic hash function on the data file of the class or group of classes received.
- 17. (New) Method according to claim 3, wherein the receiver checks a class or group of classes received from a sender by comparing the result of the hash function of the received class identifier with the result obtained by carrying out the same cryptographic hash function on the data file of the class or group of classes received.

- 18. (New) Method according to claim 4, wherein the receiver checks a class or group of classes received from a sender by comparing the result of the hash function of the received class identifier with the result obtained by carrying out the same cryptographic hash function on the data file of the class or group of classes received.
- 19. (New) Method according to claim 5, wherein the receiver checks a class or group of classes received from a sender by comparing the result of the hash function of the received class identifier with the result obtained by carrying out the same cryptographic hash function on the data file of the class or group of classes received.
- 20. (New) Method according to claim 2, wherein senders and receivers are computers in a computer network.
- 21. (New) Method according to claim 3, wherein senders and receivers are computers in a computer network.
- 22. (New) Method according to claim 4, wherein senders and receivers are computers in a computer network.
- 23. (New) Method according to claim 5, wherein senders and receivers are computers in a computer network.
- 24. (New) Method according to claim 6, wherein senders and receivers are computers in a computer network.
- 25. (New) Method according to claim 7, wherein senders and receivers are computers in a computer network.
- 26. (New) Method according to claim 8, wherein the computer network is the Internet. --

Remarks

Claims 1-9 filed on October 25, 2001, and annexed to the International Preliminary

Examination Report for the above-identified application are currently pending and form the basis for this First Preliminary Amendment.

Claims 2-6 and 8 have been amended. Claims 10-26 have been added. Claims 1-26 are pending for examination as a result of entry of this First Preliminary Amendment.

This preliminary amendment has eliminated multiple dependent claims without prejudice to resubmission and has corrected some minor typographical errors in the claims. Favorable consideration and entry of the amendment is requested.

Respectfully submitted,

Dated: April 4, 2002

KNOBLE & YOSHIDA LLC Eight Penn Center, Suite 1350 1628 John F. Kennedy Blvd. Philadelphia, PA 19103 Telephone: (215) 599-0600

Facsimile: (215) 599-0601

e-mail: kjdunleavy@patentwise.com

Kevin J. Dunleavy Reg. No. 32,024

Marked-up Version of the Claims

In The Claims

Please amend claims 3-6 and 8 to read as follows:

- 3. (Amended) Method according to claim 1 [or 2], wherein the receiver obtains the software module to be transferred by combining the object received with the class or group of classes retrieved from its database or received, wherein the receiver transmits a message "transfer succeeded" or "transfer not succeeded" depending on whether or not the receiver succeeds in combining the object and class or group of classes.
- 4. (Amended) Method according to [any one of the preceding claims] <u>claim 1</u>, wherein the receiver stores each class and group of classes with the corresponding class identifier received in its database for later use. [graphic hash function on the data file of the class or group of classes.]
- 5. (Amended) Method according to [any one of the preceding claims] <u>claim 1</u>, wherein a sender further combines the length of the data file of the class or group of classes with the given name and the result of the hash function to provide the class identifier.
- 6. (Amended) Method according to [any one of the preceding claims] <u>claim 1</u>, wherein the receiver checks a class or group of classes received from a sender by comparing the result of the hash function of the received class identifier with the result obtained by carrying out the same cryptographic hash function on the data file of the class or group of classes received.
- 8. (Amended) Method according to [any one of the preceding claims] <u>claim 1</u>, wherein senders and receivers are computers in <u>a</u> computer network[, such as the Internet].

15

20

25

30

Method for transferring a software module from a sender to a receiver in a computer system or network

The invention relates to a method for transferring a software module from a sender to a receiver in a computer system or network, wherein the software module comprises at least one object and at least one class, the object being an instance of the class(es).

In object-oriented software technology it is known to build a software module as a combination of so-called objects and classes, wherein the or each object that belongs to a class, is called an instance of the class. The objects generally contain only particular values for the variables specific to a predetermined software module, wherein the variables and methods to be carried out by the software module are defined in the class or classes.

With increasing use of computer systems and networks, such as the Internet, there is an increasing transfer of software modules of the object-oriented type between processes executed within one computer system of between computers of a computer network. This increasing transferring of software modules results in an increase of data traffic within the computer system of computer network.

A first object of the present invention is to provide a method of the above-mentioned type, wherein the data traffic within a computer system or computer network during transferring a software module is reduced.

It is a further object of the invention to provide a method of this type, wherein a software module can be transferred in a secure manner.

According to the invention a method of the abovementioned type is provided, wherein each class or group of classes is provided with a class identifier, wherein both the sender and receiver comprises a database of classes and groups of classes with corresponding class identifiers, wherein the

- 2
- 1. Declaration and Power of Attorney for Erwin Joost Bolwidt;
- 2. Copy of the Notification of Missing Requirements....;
- 3. Check in the amount of \$65.00.

A check in the amount of \$65.00 is enclosed for the surcharge for the late filing of the Declaration and Power of Attorney. Applicant is entitled to claim small entity status. The Commissioner is authorized to charge any additional fees associated with this response or credit any overpayment, to Deposit Account No. 50-0462.

Respectfully submitted,

Date: Illuoz

Kevin J. Dunleavy

Registration No. 32,024

Customer No. 21302 KNOBLE & YOSHIDA, LLC Eight Penn Center, Suite 1350 1628 John F. Kennedy Blvd. Philadelphia, PA 19103

Tel: (215) 599-0600 Fax: (215) 599-0601

E-mail: KJDunleavy@patentwise.com

11/18/2002 MKAYPAGH 00000046 10089918

01 FC:2617

65.00 OP

15

20

25

30

10/089918

W03473-dV/rp

Method for transferring a software module from a sender to a receiver in a computer system or network

The invention relates to a method for transferring a software module from a sender to a receiver in a computer system or network, wherein the software module comprises at least one object and at least one class, the object being an instance of the class(es), wherein each class or group of classes is provided with a class identifier, wherein both the sender and receiver comprises a database of classes and groups of classes with corresponding class identifier, wherein the sender transmits the class identifier of a software module to be transferred to the receiver and the receiver checks its database for presence of the received class identifier, and wherein the sender transfers only the object of the software module or both the object and class or group of classes depending on the presence or absence of the class or group of classes at the receivermethod for transferring a software module from a sender to a receiver in a computer system or network, wherein the software module comprises at least one object and at least one class, the object being an instance of the class(es).

In object-oriented software technology it is known to build a software module as a combination of so-called objects and classes, wherein the or each object that belongs to a class, is called an instance of the class. The objects generally contain only particular values for the variables specific to a predetermined software module, wherein the variables and methods to be carried out by the software module are defined in the class or classes.

With increasing use of computer systems and networks, such as the Internet, there is an increasing transfer of software modules of the object-oriented type between processes executed within one computer system or between computers of a computer network. This increasing transferring of software modules results in an increase of data traffic within the computer system or computer network.

15

20

25

30

35

IBM, CRYSTALITZ, GENERAL MAGIC, GMD FOCUS, 'Mobile Agent Facility Specification', OMG TC Document, 2 June 1997, discloses a common conceptual model for differing mobile agent systems. To implement the transfer of classes, the class must be transferred from the source agent system if it does not exist at the destination agent system. One possible approach is the transfer of a list of the names of all possible classes with the agent creation or transfer request. The destination agent system requests only the classes on that list that it has not cached. The agent is transferred in serialised form, which is able to identify and verify the classes. Agent authenticators are used to provide a secure communications infrastructure. However, an attacker can monitor communications traffic that transports agents and decodes their state data. To counter this attack an agent may demand confidentiality services as a condition for transport. This increases the data traffic within the network.

A first object of the present invention is to provide a method of the above-mentioned type, wherein the data traffic within a computer system or computer network during transferring a software module is reduced.

It is a further object of the invention to provide a method of this type, wherein a software module can be transferred in a secure manner.

According to the invention a method of the abovementioned type is provided, characterised in that the receiver
transmits a message "present" or "absent" to the sender, and the
sender provides each class or group of classes by combining a
given name of each class or group of classes of a software module and the result of a cryptographic hash function, wherein
said result is obtained by executing a cryptographic hash function on the data file of the class or group of classes.

In this manner, a method is obtained, wherein data traffic during transferring software modules is significantly reduced as the classes or groups of classes need not to be transferred in all transfers of software modules, and a secure identifier is obtained, wherein errors due to identical identifiers for different classes or groups of classes are excluded.

15

2 '

According to a preferred embodiment, the receiver checks a classes or group of classes received from a sender by comparing the result of the hash unction of the received class identifier with the result obtained by carrying out the same cryptographic hash function on the data file of the class or group of classes received.

In this manner security in transferring software modules is guaranteed as receivers will refuse to use classes where the hash function result of the identifier does not match with the hash function result obtained by the receiver from the data file of the class or the group of classes received.

The invention will be further explained by reference to the drawings in which an embodiment of the method of the invention is schematically shown.

Fig. 1 shows in a schematic way a computer network in which a method of the invention is implemented.

Figs. 2 and 3 show flow diagrams of the operation of a

15

20

25

30

35

3

sender and a receiver in the method of the invention.

A preferred embodiment of the method of the invention will be described as implemented by way of example in so-called software agents which can be used in a computer network, such as the Internet, for example for searching information on the Internet. However, it will be understood that the method of the invention is not restricted to this specific application. The method can be applied for transferring any software module of an object-oriented type as described.

Fig. 1 shows in a very schematic way the Internet comprising a number of interconnected computers or servers 1 and computers 2 of users. A user computer 2 can be connected to the Internet through a server 1 of an Internet service provider. If a user wishes to obtain information on a specific subject from the Internet, he can send a software agent with his request on the Internet to obtain this information. Finding adequate information on the Internet is a problem in view of the huge amount of information available on the Internet. Existing technology such as search engines store the most relevant information from the complete Internet to find this information in a quick manner if a user requests such information. Existing search engines show the disadvantage that searching the complete Internet from one location is time consuming so that such a search will not be carried out frequently and thereby the information stored by the search engine is dated fast. Moreover, existing search engines use their own criteria which do not necessarily correspond with the criteria which a user would use. A software agent searching the Internet with a request of its user may however use its own criteria for finding relevant information and the information can be obtained by communicating with other software agents also searching for information. During searching the Internet the software agents are transferred from one computer 1 to another computer 1 which causes a load of the network due to the data traffic involved in such transfers.

In order to reduce the load of the network caused by transferring the software agent, the following method is used.

It is noted that in the present description the term

WO 01/27757 PCT/NL00/00720

4

sender is used to indicate a computer 1 or 2 from which a software agent is to be transferred to another computer 1 or 2 which receiving computer is called receiver. It is noted however that the present method can also be used to transfer an agent being present in a directly executable format in a process executed in one computer to another process executed in the same computer. In this case the terms sender and receiver refer to such processes between which a software agent is transferred. It is further noted that in an application of the method in a computer network, such as the Internet, it is not necessary that all computers of the network are adapted or programmed to operate as sender and/or receiver.

10

15

20

25

30

35

Each software agent is made as a software module of an object-oriented type. This means within the present description that the software module comprises one or more objects and one or more classes, wherein the objects are instances of the class or classes. An object comprises data, such as variable values. A class comprises definitions of the data structure, i.e. name and type of data fields, and definitions of functions or routines which are carried out on the objects of the class. Objects or more particular the variable values of the objects often change due to the execution of the routines which are defined in the class. If a software module comprises two or more classes these classes will be indicated as a group of classes in this specification.

According to the method described, a software module is transferred from a first computer 1, the sender, to a second computer 1, the receiver, in the computer network, by transferring the class or classes of the software module only if the class or classes are not present at the receiver side. In those computers of the network adapted to function as a sender and/or receiver a database is provided for storing classes or groups of classes, wherein a unique class identifier is added to each class or group of classes. When a sender wishes to transfer a software module to a receiver, the sender and receiver start to communicate to check or whether or not the classes or group of classes of the software module to be transferred is present in the receiver. The steps of the method are schematically shown

in figs. 2 and 3 for the sender and receiver sides, respectively.

To start the transfer protocol, the sender transmits a start message to the receiver indicating "hereinafter follow all data of a software module". All objects which are part of the software module to be transferred are combined in a data file and this data file is transmitted to the receiver. Further, the sender transmits the unique class identifier to the receiver. Thereafter, the receiver checks its database for the presence of the unique class identifier received. The receiver transmits a return message "present" or "absent" to the sender and the sender transmits a data file with the class or group of classes only if a message "absent" was received. If Java is used as software language for the software module, the data files of the classes of one software module can be bundled into one data file by means of a Java tool JAR. The thus obtained data file is a so-called jar-file. Generally in the present method a class will contain a software routine or the like. Other embodiments could involve using classes containing reference to routines or the like and not the routine itself.

10

15

20

30

35

The receiver obtains the software module by combining the objects received from the sender with the class or group of classes either retrieved from its database or received from the sender. If the receiver succeeds in combining the objects and class or group of classes, the receiver transmits a message "transfer succeeded" to the sender. If the receiver cannot combine the objects and classes, a message "transfer not succeeded" will be transmitted to the sender.

In this manner data traffic in the network will be significantly reduced as generally it will only be necessary to transfer the objects of a software module.

Of course, at any transfer of a class or group of classes, a receiver receiving new classes can store the class or group of classes together with the corresponding unique class identifier in its database for future use. In this manner the database will gradually be further completed reducing future data traffic.

It is noted that although in the above-described exam-

15

20

25

30

35

6

ple, the objects and class identifier are transmitted from the sender to the receiver without interruption, its is also possible to transmit first the class identifier to check its presence at the receiver and thereafter the objects. However, the order described shows the advantage that the number of switchings from transmitting to receiving at the sender and receiver sides is reduced resulting in a further reduction of the time period for transferring a software module as switching in a computer network is relatively time consuming.

In the method of the invention the unique class identifier is preferably obtained in the following manner. First the user may give a predetermined name to a class or group of classes. This given name is a first part of the class identifier. Further, all classes of a software module are bundled into one data file which is the data file to be transferred if the group of classes is absent at the receiver side. At the sender side a program is executed determining a cryptographic hash function of the data file of the group of classes and the result of this hash function is stored. This hash function result is the second part of the unique class identifier.

It is noted that any cryptographic hash function can be used which provides a result which is significantly shorter than the original data file, wherein a small change in the original data file provides a large change in the hash function result and wherein it is very difficult to determine an input data file leading to a predetermined hash function result.

As a further option to provide a unique class identifier, it is possible to add the length of the data file as a third part to the unique class identifier.

In this manner it is guaranteed that if a sender transmits the unique class identifier of a software module to a receiver and the receiver indicates that the class or group of classes of the unique class identifier received is present in its database, indeed the correct class or classes are available at the receiver side.

Further, the use of a cryptographic hash function provides security to the transfer method. The receiver will always check the unique class identifier with the data file of classes

7

received. To this end the receiver will execute the same cryptographic hash function on the data file of the classes and will compare the result of its own hash function with the hash function result in the class identifier. If a match is not found, the receiver will transmit a message "transfer not succeeded" to the sender and will terminate the transfer protocol.

The method described can be used advantageously in an Internet application of software agents, wherein the software agent travels on the Internet to search information and the like. However, it will be understood that the method results in the same advantages of reducing data traffic between processes running in one computer system or the transfer of software modules between computers in any type of network.

The invention is not restricted to the above described embodiment and can be varied in a number of ways within the scope of the following claims.

CLAIMS

- 1. Method for transferring a software module from a sender to a receiver in a computer system or network, wherein the software module comprises at least one object and at least one class, the object being an instance of the class(es), wherein each class or group of classes is provided with a class identifier, wherein both the sender and receiver comprises a database of classes and groups of classes with corresponding class identifier, wherein the sender transmits the class identifier of a software module 10 to be transferred to the receiver and the receiver checks its database for presence of the received class identifier, and wherein the sender transfers only the object of the software module or both the object and class or group of classes depending on the presence or absence of the class or 15 group of classes at the receiver, characterised in that the receiver transmits a message "present" or "absent" to the sender, and the sender provides each class or group of classes by combining a given name of each class or group of classes of a software module and the result of a 20 cryptographic hash function, wherein said result is obtained by executing a cryptographic hash function on the data file of the class or group of classes.
 - Method according to claim 1, wherein the sender transmits first all objects and the class identifier to the receiver if a message "absent" is received.
 - Method according to claim 1 or 2, wherein the receiver obtains the software module to be transferred by combining the object received with the class or group of classes retrieved from its database or received, wherein the receiver transmits a message "transfer succeeded" or "transfer not succeeded" depending on whether or not the

25

30

8'

receiver succeeds in combining the object and class or group of classes.

4. Method according to any one of the preceding claims, wherein the receiver stores each class and group of classes with the corresponding class identifier received in its database for later use.

15

20

9

graphic hash function on the data file of the class or group of classes.

- any one of the preceding claims
 56. Method according to elaim 5, wherein a sender further combines the length of the data file of the class or group of classes with the given name and the result of the hash function to provide the class identifier. any one of the preceding claims
- 67. Method according to elaim 5 or 6, wherein the receiver checks a class or group of classes received from a sender by comparing the result of the hash function of the received class identifier with the result obtained by carrying out the same cryptographic hash function on the data file of the class or group of classes received.
- 70. Method according to claim 7, wherein the receiver transmits a message "transfer succeeded" or "transfer not succeeded" depending on the comparison of the result of the hash function on the data file received and the result of the hash function of the class identifier.
- 39. Method according to any one of the preceding claims, wherein senders and receivers are computers in computer network, such as the Internet.
- g 10. Method according to claim 9, wherein the software module is a so-called agent for searching, exchanging and/or providing information on the network.

(12) INTERNATIONAL ALL LICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau

ZIPO DMPI

E LEGET BELLEVER EN BERLEV BEERLE EKRE FER ELE ELEKTRE ER BELLEVER BEERLE ELEKTRE ELEKTRE ELEKTRE ER BERLEVER

(43) International Publication Date 19 April 2001 (19.04.2001)

PCT

(10) International Publication Number WO 01/27757 A1

(51) International Patent Classification⁷: G06F 9/46

- (21) International Application Number: PCT/NL00/00720
- (22) International Filing Date: 6 October 2000 (06.10.2000)
- (25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 1013249

8 October 1999 (08.10.1999) NL

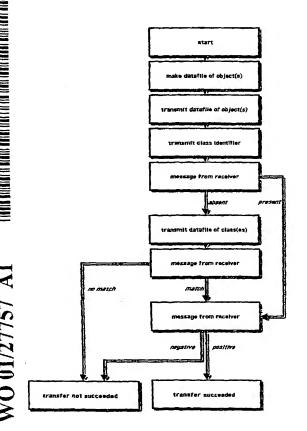
- (71) Applicant (for all designated States except US): TRYL-LIAN BV [NL/NL]; Kruislaan 400, NL-1098 SM Amsterdam (NL).
- (72) Inventor; and
- (75) Inventor/Applicant (for US only): BOLWIDT, Erwin,

Joost [NL/NL]; Madridplantsoen 141, NL-2034 VS Haarlem (NL).

- (74) Agent: DE VRIES, Johannes, Hendrik, Fokke; De Vries & Metman B.V., Overschiestraat 180, NL-1062 XK Amsterdam (NL).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TI, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE,

[Continued on next page]

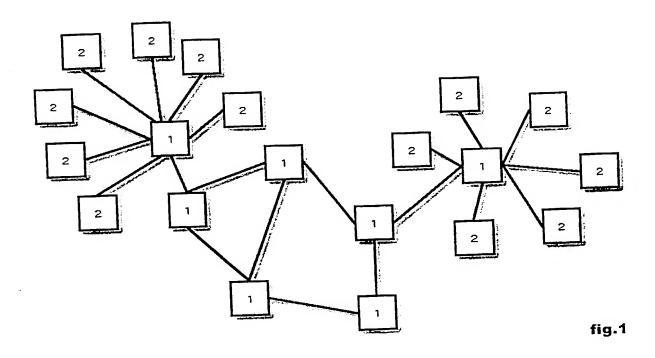
(54) Title: METHOD FOR TRANSFERRING A SOFTWARE MODULE FROM A SENDER TO A RECEIVER IN A COMPUTER SYSTEM OR NETWORK



(57) Abstract: In a method for transferring a software module from a sender to a receiver in a computer system or network, wherein the software module comprises at least one object and at least one class, the object being an instance of the class(es), each class or group of classes is provided with a class identifier. Both the sender and receiver comprises a database of classes and groups of classes with corresponding class identifiers. The sender transmits the class identifier of a software module to be transferred to the receiver and the receiver checks its database for presence of the received class identifier. The receiver transmits a message "present" or "absent" to the sender and the sender transfers only the object of the software module or both the object and the class or group of classes depending on the presence or absence of the class or group of classes at the receiver.

WO 01/27757

1/3



William Committee Committe

2/3

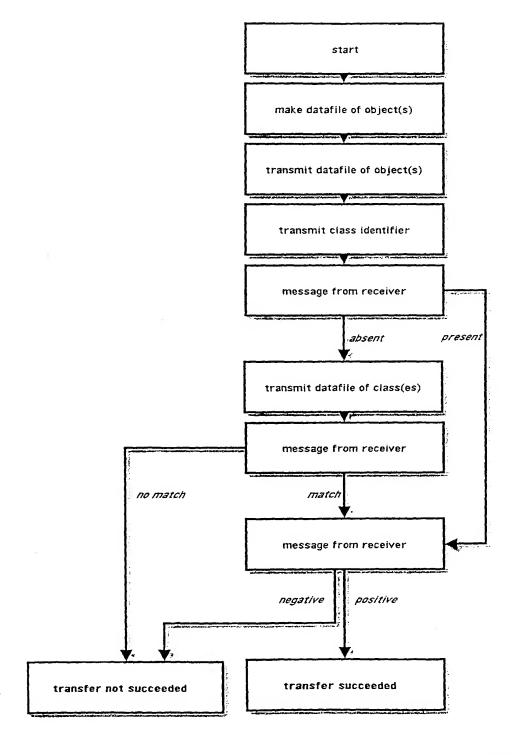


fig.2

WO 01/27757

Control of the second

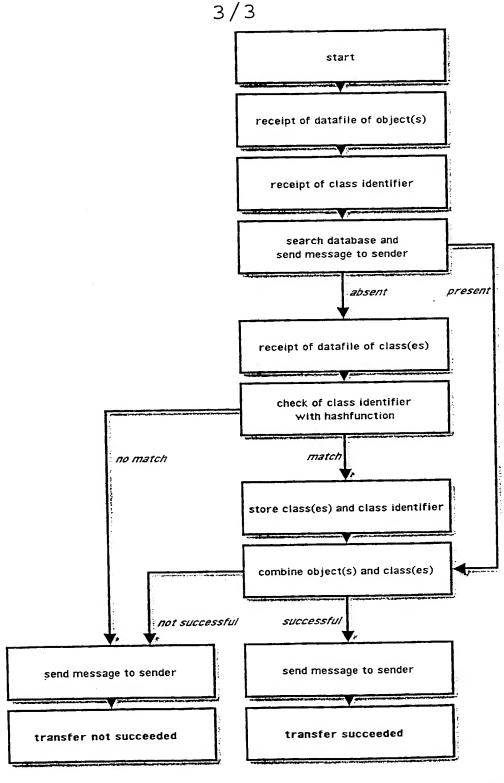
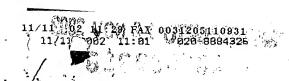


fig.3



Attorney Docket No. DVME-1024US

DECLARATION AND POWER OF ATTORNEY

As below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Method for Transferring A Software Module From A Sender to a Receiver In A Computer System or Network

The specification of which

- o is attached hereto.
- was filed on 06 October 2000 as United States Application No. or PCT
 International Application Number <u>PCT/NL00/00720</u> and was amended on <u>25 October</u> 2001.

(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office an information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35. Untied States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designed at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International having a filing date before that of the application on which priority is claimed.

Prior Foreign Ap	oplication(s)	Prio	rity Not Claimed
1013249 /	Netherlands /	08 October 1999	0
(Number)	(Country)	(Day/Month/Year Filed)	
			0
(Number)	(Country)	(Day/Month/Year Filed)	
			0
(Number)	(Country)	(Day/Month/Year Filed)	

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any Untied States provisional application(s) listed below:

(Application Serial No.)	(Filing Date)	•
(Application Scrini No.)	(Filing Date)	
(Application Serial No.)	(Filing Date)	

I hereby claim the benefit under 35 U.S.C. Section 120 of any United States application(s) or Section 365(c) PCT application designating the United States, listed below; and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International Application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37 C.F.R. Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date:

(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)	
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)	
(Application Serial No.)	(filing Date)	(Status) (patented, pending, abandoned)	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements any jeopardize the validity of the application or any patent issued thereon.

11/11 '02 11:30 FAX 0031205110931 11/11/2002 11:01 020-8884325

POWER OF ATTORNEY: As named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

John J. Knoble, Registration No. 32,387; Ken I. Yoshida, Registration No. 37,009, Kevin J. Dunleavy, Registration No. 32,024 and Jianzhong Shen, Registration No. 48,076 of the firm KNOBLE & YOSHIDA, LLC, Eight Penn Center, 1628 John F. Kennedy Blvd., Philadelphia PA 19103, Telephone: (215) 599-0600, Facsimile: (215) 599-0601. Please direct all correspondence and telephone calls to Kevin J. Dunleavy.

Maria	
Full name of sole or first inventor	
Erwin Joost Bolwidt Date	
Sole or first inventor's signature	2002
Residence	
Haarlem, The Netherlands NCA	
Post Office Address Madridplantsoen 141, NL-2034 VS Hamious	
	Residence Haarlern, The Netherlands NLX Citizenship